

[illegible]

# TRANSMITTAL FORM

JC474 U.S. PTO  
10/064808  
08/20/02

Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

120478

Submission Type: Utility Patent  
Filing

## FUEL CELL ASSEMBLY AND THERMAL ENVIRONMENT CONTROL METHOD

First Named Inventor: Ronald Scott Bunker

### SUBMITTED BY

Name:	Penny A. Clarke
Registration Number:	46627
Electronic Signature Mark: PAC	Date Signed: 20020820

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

declaration	DEC1.tif
declaration	DEC2.tif
patent-assignments	clarke120478asn.xml
fee-transmittal	clarke120478fee.xml

bibd-transmittal  
specification

clarke120478apds.xml  
120478.xml

**Attached Image File(s):**

DEC1.tif

DEC2.tif

Comments:

## DECLARATION FOR PATENT APPLICATION

Docket Numt  
120478

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
**FUEL CELL ASSEMBLY AND THERMAL ENVIRONMENT CONTROL METHOD.**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a date before that of the application on which priority is claimed.

Prior **Foreign Application**

Priority Claimed

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any **United States provisional application(s)** listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any **United States Application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, **CUSTOMER NO. 006147.**

Penny A. Clarke, Reg. No. 46,627

Christian G. Cabou, Reg. No. 35,467, Jill M. Breedlove, Reg. No. 32,684, Donald S. Ingraham, Reg. No. 33,714, Noreen C. Johnson, Reg. No. 38,929, Patrick K. Patnode, Reg. No. 40,121, Ronald E. Myrick, Reg. No. 26,315, Henry J. Policinski, Reg. No. 26,621, James W. Mitchell, Reg. No. 25,602, Bernard Snyder, Reg. No. 24,843, Catherine J. Winter, Reg. No. 38,364, and John F. Beninati, Reg. No. 40,510.

Address all telephone calls to: Penny A. Clarke at telephone number (518) 387-5349

Address all correspondence to: General Electric Company  
CRD Patent Docket Rm 4A59  
P.O. Box 8, Ridge, K-1



# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1326**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 07-0868



Deposit Account Name: General Electric GRC

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Penny A. Clarke

Electronic Signature Mark: PAC

Date Signed: 20020820

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 41	103	\$ 18	21	\$ 378
Independent Claims: 5	102	\$ 84	2	\$ 168

